

me

AP Sector



PATENT
Customer No. 22,852
Attorney Docket No.: 7932.0007-00

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on May 16, 2001.

Signed: Mary A. McCauley
Mary A. McCauley

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Yiannis Tsounis, et al.) Group Art Unit: 2167
Serial No.: 09/780,031) Examiner: Unassigned
Filed: February 9, 2001)
For: METHODS AND SYSTEMS FOR)
MAKING SECURE ELECTRONIC)
PAYMENTS)

Attention: **BOX MISSING PARTS**
Assistant Commissioner for Patents
Washington, DC 20231

**RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION**

In response to the Notice to File Missing Parts dated March 16, 2001, Applicants request that the fee of \$1848.00 be charged to our deposit account no. 06-0916, which constitutes an application fee of \$710.00 and an extra claim fee of \$1,138.00, but not the surcharge fee of \$130.00. Applicants do not believe the surcharge is warranted. As noted on the attached copy of a transmittal letter dated February 9, 2001, that was filed with the subject application, Applicants specifically requested that the PTO charge "any filing fees due" to our Deposit Account No. 06-0916. Applicants therefore were surprised to receive a Notice to File Missing Parts of Application stating that the fees had not been paid. Applicants' purpose is even greater because a companion case serial no. 09/780,029, filed that same day, also requested payment of the filing fee using the same words and format as the transmittal letter filed in this case, and the PTO recognized that request and duly charged the Deposit Account.

LAW OFFICES

FINNEGAN, HENDERSON,
FARABOW, GARRETT
& DUNNER, L.L.P.
STANFORD RESEARCH PARK
700 HANSEN WAY
PALO ALTO, CALIF. 94304
650-849-6600

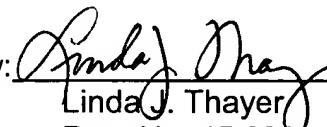
Thus, the fees asserted to be missing in the Notice to File Missing Parts are missing due to the oversight of the PTO. Accordingly, Applicants do not feel responsible for the late filing fee surcharge.

Please grant any extensions of time required to enter this response, and charge any additional required fees to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: May 16, 2001

By: 
Linda J. Thayer
Reg. No. 45,681

LAW OFFICES

FINNEGAN, HENDERSON,
FARABOW, GARRETT
& DUNNER, L.L.P.
STANFORD RESEARCH PARK
700 HANSEN WAY
PALO ALTO, CALIF. 94304
650-849-6600



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/780,031	02/09/2001	Yiannis S. Tsionis	7932.0007-00

CONFIRMATION NO. 8451

FORMALITIES LETTER



OC000000005870996

Finnegan, Henderson, Farabow,
Garrett & Dunner, L.L.P.
1300 I Street, N.W.
Washington, DC 20005

Date Mailed: 03/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/27/2001 SSALEEKU 00000010 060916 09780031
01 FC:105 130.00 CH

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1138.
 - \$738 for 41 total claims over 20.
 - \$400 for 5 independent claims over 3 .
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1978.**

05/22/2001 DTESEM1 00000020 060916 09780031

01 FC:101 /10.00 CH
02 FC:103 738.00 CH
03 FC:102 400.00 CH

*A copy of this notice **MUST** be returned with the reply.*

AM
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

STANFORD RESEARCH PARK
700 HANSEN WAY
PALO ALTO, CALIFORNIA 94304

WASHINGTON
202-408-4000

ATLANTA
404-653-6400

TELEPHONE 650-849-6600
FACSIMILE 650-849-6688

WRITER'S DIRECT DIAL 650-849-6621
LINDA.THAYER@FINNEGAN.COM

TOKYO
011-813-3431-6943
BRUSSELS
011-322-646-0353

February 9, 2001

ATTORNEY DOCKET NO.: 7932.0007-00
CUSTOMER NUMBER: 22,852

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, in an envelope addressed to: BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231 on February 9, 2001. Express Mail Label No.: EL746264643US

Signed: Mary A. McCauley
Mary A. McCauley

**Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231**

New U.S. Patent Application
Title: METHODS AND SYSTEMS FOR MAKING SECURE
ELECTRONIC PAYMENTS
Inventors: Yiannis Tsionis, et al.

Sir:

We enclose the following papers for filing in the United States Patent and Trademark Office in connection with the above patent application.

1. Application - 38 pages, including cover sheet, 8 independent claims and 61 claims total.
2. Drawings - 9 sheets of drawings (Figures 1-9).
3. Declaration and Power of Attorney.

Applicants claim the right to priority based on Provisional Patent Application Nos. 60/181,224, and 60/181,225, both filed February 9, 2000.

Please address all correspondence with respect to this application to:

Finnegan, Henderson, Farabow,
Garrett & Dunner, L.L.P.
1300 I Street, N.W.
Washington, D.C. 20005-3315

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Assistant Commissioner for Patents
February 9, 2001
Page 2

Please accord this application an application number and filing date.

The Commissioner is hereby authorized to charge any filing fees due and any other fees due under 37 C.F.R. § 1.16 or §1.17 during the pendency of this application to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By: Linda J. Thayer
Linda J. Thayer
Reg. No. 45,681



CLC/LJT

PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

In-Re Application of: Yiannis Tsounis, et al.

Serial No.: Unassigned

Group Art Unit: Unassigned

Filed: February 9, 2001

Examiner: Unassigned

For: METHODS AND SYSTEMS FOR MAKING SECURE
ELECTRONIC PAYMENTS MAR 8 2001

1. Transmittal Letter (2 pages) FINKIAN, NEPPERSON, LLP
2. Application (38 pages, including coversheet) FINKIAN, NEPPERSON, LLP
3. 9 Sheets of Drawings (Figs. 1-9)
4. Declaration and Power of Attorney (2 pages)

JC973 U.S. PTO

09/780031

02/09/01

Dated: February 9, 2001

Attorney: Linda J. Thayer

Docket No.: 07932.0007-00000

Mail Drop: CA

✓ 3/13/01
LJ